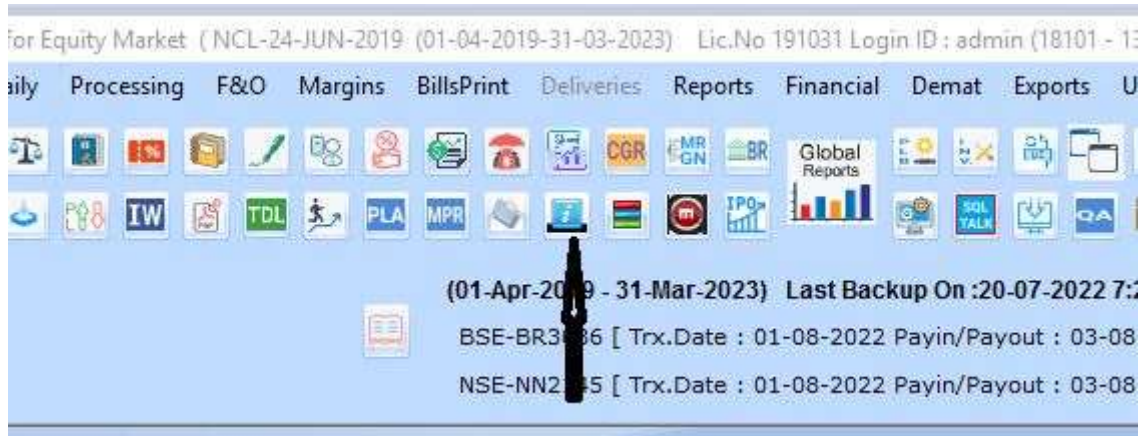


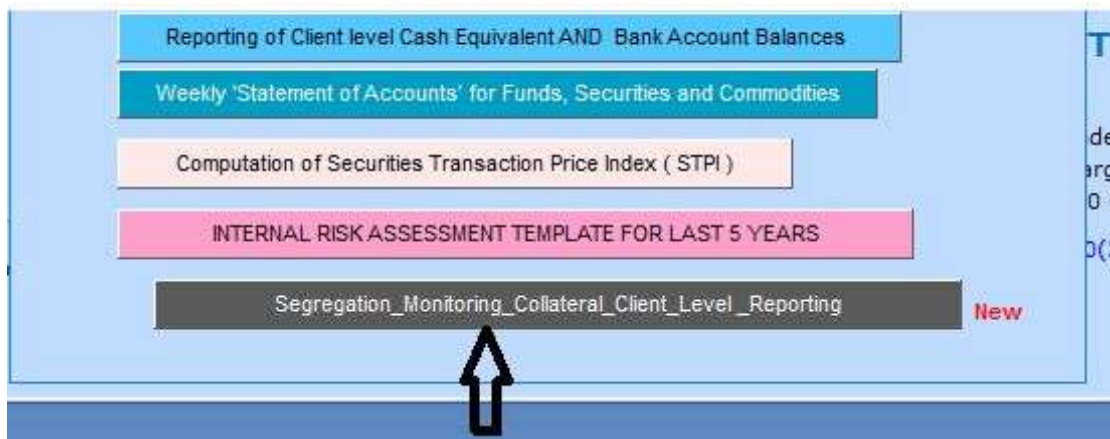
How to Make Allocation Deposit file for NMass/ICCL/ MCX/NCDEX

NSE CIRCULAR RECEIVED ON 30.07.2022/CMPT53128.PDF

For the Process Click on the Icon



After that Click on you will get Calculation window.



Reporting of Segregation and Monitoring of Collateral at Client Level NSE/INSP/49348 (Ver 5.0)

Segment Selection
☒ Capital ☐ Commodity ☒ Include Commodity
☐ Bank Balance As Per Fin. Ldgr

File Export For Clearing
☒ Corporation ☐ Member ☐ Both With Margin ☐ 50/50

Calculation Parameters
 Report Date: 31-07-2022
 Margin Date: 29-07-2022
 Margin Date FX: 29-07-2022
 Margin Date Com: 29-07-2022
 Closing Price: 29-07-2022
 Variance From: VAR
☒ Auto Inter Segment Fund Transfer
☐ Delete Auto Inter Segment Transfer
☐ EOD Deposit
☐ BOD Deposit
☐ Segregation Report

CM Clearing Member Pan No. ABCDG1000A
 FO Clearing Member Pan No. ABCDG1000C
 FX Clearing Member Pan No. ABCDG1000L
 CO Clearing Member Pan No. ABCDG1000L
 Clg. Member: Batch No. 01 ☐ Make Single Batch
 File Export Path:

☐ Dn+Up+Eq

Deposit Export For Trading Day 01-08-2022

Selection For Deposit Export
☐ Margin Clients Only
☐ Margin Clients and Deposit Equal to Marg
☐ Make Deposit up to Short Margin

Parameters For Deposit Upload Calculation
☒ Add EPN Value Up to Margin
☐ Adjust Next Day Billing Amount
☐ Adjust Debit Bills Only
☐ Less Payment UnClearCheque
☒ Add Deposit UnClearCheque
☒ Add Margin Pledge Value up to Marg

IMP -> Before Calculate add all your deposit with CC/CM in BGR/FDR and Cash either it your fund or your client fund deposit to CC/CM and this amount is not to Be Greater than client Deposit Limit.

******* Before Uploading the Reports Please ensure :-**
 1. Your All Client bank account should be reconciled Up to Report Date
 2. For SCM reports collaterals retained Value Column 20- 29 and Column 30-45 is zero
 3. Client Collateral placed with CC shall be reported Client and PRO Separately
 4. Cash Retain (22) With TM shall be clear balance (11) if client has Credit Balance
 5. Fin Ldgr. Balance (10) includes EPI Credit Value (20) only for CM Segment
 6. (25) Approved Securities Cash Component Shall be mark Cash Component 'Y' and Pledgeable Also in Scrip Master
 7. MTF funded stocks/Ledger need not be reported, Only MTF Collateral Fund/Security needs to be reported under CM Segment.
 8. Reporting to CC/CM should be segment wise TM-CM and CM-CC
 9. Haircut rates shall be as per the Var file, other wise Broker Define Var (Retain By TM)

Client Code For Auto Fund Delete:

EOD /BOD Process

NCCL – EOD FILES NMASS AND MG13 PROVISIONAL FILES

NCCL BOD IMPORT CC01/CC02 FILES

EOD ICCL IMPORT RTRMS FILE

BOD ICCL IMPORT CLIENT ALLOCATION FILE

MCCL FOR EOD IMPORT MCX PEAK MARGIN FILE

MCCL FOR BOD IMPORT WEB ALLOCATION FILE

NCDEX EOD/BOD CLIENT NET EFFECTIVE DEPOSIT FILE

FOR NCCL and other clearing corporation Please see the screen details given.

1 IMPORT NMASS MARGIN FILE SEGMENT WISE CM/FO/CD

2 IMPORT MG13 PROVISION FILE GET UP TO 4.15

3 IT WILL TAKE HIGHER MARGIN FROM BOTH ENMASS MARGIN AND MG13 PROVISIONAL FILE

Eod Clg Fund Import

Segment:

☐ NMASS Margin File ☐ RTRMS Margin File ☐ CC File Fund/Eod View

☐ CItTotalMargins_CM ENMASS ☐ ICCL Clearing

☐ MG13P.I04 PROVISIONAL ☐ MCX Peak Margin File

☐ CC01/TM File for All CC ☐ MCX Web Allocation EOD XLS File Save in CSV

☐ Globe Capital File ☐ NCL Live Eod Fund Import ☐ Delete FOR EOD Process (CC/MCX Peak)

File Import:

No of Record:

Margin Date:

File Download Path : /FAOFTP/F<MEMBER CODE>/REPORTS

File Name : F_CC02_<MEMBER CODE>_<DDMMYYYY>.csv

MCX File Name :MCX_WebAllocationDeallocationtmid_YYYYMMDD.CSV

ICCL File Name :ClientAllocation_memberid_yyyymmdd.csv

NCDEX File Name :ClientNetEffectiveDeposits_DDMMYYYY.CSV

For NCL For Eod Import NMASS File then MG13P File and For BOD Import CC01/CC02

For ICCL For EOD Import RTRMS Margin File and For BOD import Click on ICCL Clearing and Import Client Allocation File

For MCX Eod Import MCX Peak Margin File

For MCX BOD Import MCX_WebAllocationDeallocation File

For NCDEX EOD/BOD Import ClientNetEffectiveDeposits csv File

Select NCDEX at Segment Box and for TM require Selection of CC01/tm FILE

4. AFTER IMPORT BOTH FILE PLEASE CONFIRM BY CLICKING VIEW ON CC FILE FUND/EOD VIEW

MARGIN IS SHOWING AS PER CURRENT NMASS/MG13P WHICH EVER IS HIGHER.

5. IF you are uploading BOD FILE to NMASS Please upload success file sequence wise for EOD after import the NMASS MARGIN FILES for update BOD fund updated by you in the Morning/Intraday

CCMargin Edit/View

Margin Date : 29-07-2022 Segment : ALL

Clientcd

Margin Date	Clientcd	Client Name	Segment	EOD Fund	Cash Comp	Repledge	Margin	UCC Code
29-07-2022	HY358	USHA GRANDHE	FO	719350.60		0.00	0.00	HY358
29-07-2022	HY358	USHA GRANDHE	CM	0.00		1796778.00	243363.66	HY358
29-07-2022	N053	N S R CHANDRA PRASAD	CM	0.00		2156842.38	0.00	N053
29-07-2022	A11M02	MOHAN DAS VAISHNAV	FO	134.00		0.00	0.00	A11M02
29-07-2022	MSG	M SURENDRA GUPTA	CM	19591.24		0.00	2311.25	MSG
29-07-2022	K315	DHANA LAKSHMI MAJETY	CM	40238.94		0.00	14447.17	K315
29-07-2022	HY273	SANDESH JAIN	CM	15472.52		0.00	0.00	HY273
29-07-2022	HY058	MADHAV DAS BYAS	CM	0.00		582200.00	592.67	HY058
29-07-2022	ITC5512	SEENINAICKER VENKATSWAMI	CM	11942.56		0.00	2111.40	ITC5512
29-07-2022	HY057	MADAN GOPAL VYAS	Administrator	647.00		73659.93	0.00	HY057
29-07-2022	SAKI	ASHOK KUMAR INANI	CM	0.00		244062.10	0.00	SAKI
29-07-2022	PR752	V SREENIVASULU	CM	36274.24		0.00	0.00	PR752
29-07-2022	KNRH	KAMAL NARAYAN RATHI HUF	CM	0.00		658938.00	0.00	KNRH
29-07-2022	NKE1979	ARUMUGAM VIJAYALAKSHMI	FO	4387.00		0.00	733.75	NKE1979
29-07-2022	KDH	KAILASH DAGA	CM	0.00		120425.66	0.00	KDH
29-07-2022	1444	PARSI ROOPARANI	FO	0.00		400669.09	0.00	1444
29-07-2022	HY109	SHANKAR THATAPUDI	CM	0.00		22391.30	0.00	HY109

After confirming the Margin is showing and EOD FUND is showing as per previous upload to NMASS

Go for run the process for EOD/BOD

Reporting of Segregation and Monitoring of Collateral at Client Level NSE/INSP/49348 (Ver 5.0)

Segment Selection
☒ Capital ☐ Commodity
☐ Bank Balance As Per Fin. Ldgr

File Export For Clearing
☒ Corporation ☐ Member ☐ Both With Margin ☐ 50/50

Calculation Parameters
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☒ Auto Inter Segment Fund Transfer
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☐ BOD Deposit
☐ Segregation Report

CM Clearing Member Pan No.: ABCDG1000A
 FO Clearing Member Pan No.: ABCDG1000C
 FX Clearing Member Pan No.: ABCDG1000L
 CO Clearing Member Pan No.: ABCDG1000L
 Clg. Member: Batch No.: 01
 File Export Path:

☐ Exp CM /FO Only
☐ Exp CM /FO/CDS Only
☐ CASH ICCL AND FO/CDS NCL
☐ CUR ICCL AND FO/CDS NCL
☐ Exp CM Only
☐ Exp Fo Only
☐ Exp Curr Only
☐ Exp Comm Only

Selection For Deposit Export
☐ Margin Clients Only
☐ Margin Clients and Deposit Equal to Marg
☐ Make Deposit up to Short Margin

Parameters For Deposit Upload Calculation
☒ Add EPN Value up to Margin
☐ Adjust Next Day Billing Amount
☐ Adjust Debit Bills Only
☐ Less Payment UnclearCheque
☒ Add Deposit UnclearCheque
☒ Add Margin Pledge Value up to Marg

IMP -> Before Calculate add all your deposit with CC/CM in BGR/FDR and Cash either it your fund or your client fund deposit to CC/CM and this amount is not to Be Greater than client Deposit Limit.
 ***** Before Uploading the Reports Please ensure :-
 1. Your All Client bank account should be reconciled Up to Report Date
 2. For SCM reports collaterals retained Value Column 20- 29 and Column 30-45 is zero
 3. Client Collateral placed with CC shall be reported Client and PRO Separately
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 6. (25) Approved Securities Cash Component Shall be mark Cash Component 'Y' and Pledgeable Also in Scrip Master
 7. MTF funded stocks/Ledger need not be reported, Only MTF Collateral Fund/Security needs to be reported under CM Segment.
 8. Reporting to CC/CM should be segment wise TM-CM and CM-CC
 9. Haircut rates shall be as per the Var file, other wise Broker Define Var (Retain By TM)
[Approve Securities/Cash Component List Click Here and go for 20 th day](#)

For EOD/BOD Process you have to click on EOD/BOD Deposit as per your selection.

Parameters for Deposit upload Calculation :-

- **Add EPN Value up to Margin** for EOD it will not process so no effect for EOD, for BOD we have EPN file updated so it will take EPN benefit for CM/FO/CD Segment if client has taken position from Sale Delivery and make the Early Pay in of Share and broker has uploaded the success EPN records in Shilpi backoffice software he will get the EPN benefit in FO/CUR where he has made the new position up to the margin value / EPN value which-ever is higher and pro fund is used.
- **Adjust next day billing amount** No to click here at the time of EOD as its EOD process and not to allow to upload tomorrow debit/credit but for bod as its next day amount so we have to include in bod.
- **Less payment unclear Cheque** EOD not to require to click on less payment check on unclear as at morning at the time of trading we have the balance, but on BOD we require to click this as for next day trading we have not to add this balance for trading.
- **Add deposit unclear cheque** it will add unclear/unreconciled cheques value for Deposit file and pro fund is used.
- **Add margin Pledge value up to Margin** If client has share pledge in cm and he takes the position in FO segment but no Pledge/Re-Pledge values in FO segment. it will transfer share value in FO Segment from CM Segment up to margin shortage and pro fund is used.

No Financial balance will effect by these entries only it will Add/Less value in deposit allocation fund.

Parameters for Deposit upload Export :-

Margin Clients only Make deposit file for margin clients only and if client has

Fund balance Rs. 100000.00

Margin Rs. 50000.00

Re Pledge Value at CC /CM Rs. 40000.00

Fund Upload Rs. 90000.00

Fund Upload deposit value = Clear Balance - (Margin - Repledge at CC/CM) .

It will increase your PRO balance at Clearing Corporation due to uploading balance for Margin clients only and rest clients balances which have credited added in PRO balance whenever these clients trading pro balance is used at CC/CM.

Margin Clients and Deposit Equal to Margin Make deposit Fund up to margin after adjusting the Repledge values at CC/CM and make deposits value to zero for other clients which have no margin .

It will increase your PRO balance at Clearing Corporation/Clearing Member due to uploading deposit for Margin clients only and Mark up to the Margin .PRO Balance is upward more than Margin Clients only selection, It will add Margin Clients balance more than margin + rest clients balances which have credited added in PRO balance. Whenever these clients trading pro balance is used at CC/CM and we have more fund in PRO .

Make Deposit up to short Margin : Make deposit Fund up to margin if client has short margin after adjusting the repledge values at CC/CM it will taken from PRO but you have to ask the clients to deposit the short Margin payment on same day otherwise penalty is beared by you on that short amount.

After Calculations : Please verify the shortage/deposit allocation upload to CC/CM by clicking on screen Deposit Margin View and take the report to verify the Current allocation fund before uploading to the CC/CM

[illegible]

Thanks